

PROGRAM V: CAPITAL IMPROVEMENTS

SUMMARY OF APPROPRIATIONS AND REVENUES

Agency	Agency Name	FY 2001-2002 Appropriations	FY 2001-2002 Revenue	FY 2001-2002 Net County Cost
036	CAPITAL PROJECTS	46,780,083	10,274,402	36,505,681
038	DATA SYSTEMS DEVELOPMENT PROJECTS	17,001,781	0	17,001,781
GENERAL FUND TOTAL		63,781,864	10,274,402	53,507,462
104	CRIMINAL JUSTICE FACILITIES - ACO	8,467,278	8,467,278	0
105	COURTHOUSE TEMPORARY CONSTRUCTION	4,800,262	4,800,262	0
13L	TELECOMMUNICATIONS 2001 EQUIPMENT FUND	8,566,688	8,566,688	0
14N	SUPERIOR COURT ICMS PHASE III ACQUISITION	2,580,851	2,580,851	0
15L	800 MHZ COUNTYWIDE COORDINATED COMMUNICATIONS SYST	15,963,865	15,963,865	0
15R	DEBT REDUC/FUTURE ESSENTIAL OPERATING REQUIREMENTS	273,141	273,141	0
9B0	DEBT SERVICE	100,934,035	100,934,035	0
NON-GENERAL FUND TOTAL		141,586,120	141,586,120	0
TOTAL CAPITAL IMPROVEMENTS		205,367,984	151,860,522	53,507,462

036 - CAPITAL PROJECTS

Operational Summary

Agency Description:

The Capital Projects Budget consists of recommended new and previously approved capital projects and major maintenance projects with the following two exceptions:

1) Since FY 1997-98, all new capital projects which are funded by departmental revenue are budgeted directly in the departmental budget.

2) Capital Projects requests which fall under an agency/department responsibility, such as Harbors, Beaches & Parks, Flood, John Wayne Airport, Housing and Community Development, and Integrated Waste Management Department are excluded from the Capital Projects process. Capital Projects related to these programs are prioritized and budgeted by the responsible department/agency in its specific capital budget.

At a Glance:

Total FY 2000-2001 Actual Expenditure + Encumbrance:	7,838,248
Total Final FY 2001-2002 Budget:	46,780,083
Percent of County General Fund:	2.15%
Total Employees:	0.00

Fiscal Year 2000-01 Key Project Accomplishments:

- Implemented second year of seven year Deferred Maintenance Plan.
- Continued with implementation of ADA Transition Plan.
- Received reimbursement for ADA Survey contract costs from various County departments/agencies (\$1.4 million).
- Began Hall of Administration Improvements and Public Access project.
- Completed several 800 MHz communications projects.

Budget Summary

Plan for Support of the County's Strategic Priorities:

This agency budgets funding for four Probation Department Strategic Priorities:

Remodel of the Youth and Family Resource Center in Tustin

90-Bed Rancho Potrero Leadership Academy

32-Bed Expansion of Los Pinos

Joplin Expansion/Mitigation

New Deferred Maintenance and ADA projects (\$9 million) approved in the Strategic Financial Plan are included. Cost is partially offset by \$1.4 million from Fund 15S.

Rebudget of Deferred Maintenance projects which were approved in FY 2000-01 and will continue into FY 2001-02.

Changes Included in the Base Budget:

Please refer to the following three matrices for information regarding specific projects for FY 2001-02. The three matrices display:

1) Recommendations for new capital project requests for FY 2001-02

The Auditor-Controller recognizes the minimum value for capitalizing buildings and improvements to be \$150,000. Projects costing less than \$150,000 are considered Alterations and Improvements. However, in order to coordinate and plan major facility improvements, departments/agencies submit requests for projects with an estimated cost greater than \$50,000 through the Capital Projects review process. Projects costing less than \$50,000 will not be considered for funding through the Capital Projects review process. Those projects should be funded as an Alteration and Improvement within the operating budget of the requesting department/agency.

2) Major maintenance, repair and ADA projects recommended for approval in FY 2001-02

Includes deferred maintenance and ADA projects approved in the Strategic Financial Plan as well as newly identified critical maintenance projects.

3) Capital projects approved in prior years which will continue into FY 2001-02 (Rebudgets).

The Annual Maintenance and Repair Plan for FY 2001-02 includes \$1.8 million to fund energy conservation projects as determined by energy audits on 25 County facilities. Proposed actions to make these facilities more energy efficient include lighting retrofits, motion sensors, and replacement of high-energy-using motors and other equipment with energy-efficient units.

A number of projects that would have previously been budgeted in this agency are budgeted in the Criminal Justice Facilities ACO Fund (104) to be funded by Court fines and fees restricted to criminal justice facilities. They include one Strategic Priority, the design of the 60-Bed Expansion of Juvenile Hall (\$987,000) and 13 Deferred Maintenance projects (totaling \$1.7 million) for Probation facilities.

During the FY 2000-2001 budget process the Board of Supervisors approved 13 augmentations to facilitate potential securitization of the County's share of the national Tobacco Settlement (see Fund 14X). The augmentations were frozen pending approval of actual securitization. Some of the proceeds of the tax exempt bonds (\$31.5 million) were to flow to 100-036 to replace 15S revenue for capital improvements. The Tobacco Settlement Revenue Securitization, however, was reversed in the FY 2000-01 2nd Quarter Budget Report since securitization was determined not to be a viable option. 15S revenue and Net County Cost were restored to fund budgeted capital improvements.

Final Budget and History:

Sources and Uses	FY 1999-2000 Actual Exp/Rev ⁽¹⁾	FY 2000-2001 Final Budget	FY 2000-2001 Actual Exp/Rev ⁽¹⁾	FY 2001-2002 Final Budget	Change from FY 2000-2001 Actual	
					Amount	Percent
Total Revenues	10,537,383	32,106,872	2,511,500	10,274,402	7,762,902	309
Total Requirements	8,123,295	40,152,858	5,249,519	46,780,083	41,530,564	791
Net County Cost	(2,414,088)	8,045,986	2,738,019	36,505,681	33,767,662	1,233

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2000-01 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: CAPITAL PROJECTS in the Appendix on page 543.

Highlights of Key Trends:

- Focus continues to be on completing ADA projects to bring County buildings into ADA compliance by FY 2002-03.
- Implementation and completion of numerous Deferred Maintenance projects is still a major activity for this agency.
- This budget will fluctuate from year to year depending on Strategic Priorities approved by the Board during the Strategic Financial Plan Update.

FY 2001-2002 New Capital Project Requests

Number	Project Description	Justification/Comments	CEO Approved Projects		Recommendation
			Agency 036	Other Agency	
ASSESSOR					
1	Remodel Real Property Section	Replace 15-year-old modular furniture to incorporate ergonomic improvements and accommodate changes in technology and work procedures. 80% of 700,000 property records are being converted to optical images thus reducing need for file room. Structural modifications would maximize the use of this space.	410,000		Approve. Account coding: 4200-P251
AUDITOR-CONTROLLER					
2	Remodel Auditor-Controller Offices	Continue remodeling the Auditor-Controller areas of Building 12 for more efficient space utilization, more effective processing of work flow, to revise adjacencies of work units, to improve public access, and to provide ergonomically improved work stations for employees. Could free up space for other Departments. Design is in progress. Implementation will be phased. \$171,135 is available for FY 2001-02.	171,135		Approve. Account coding: 4200-P044
3	Remove Louvers on South Side of Finance Bldg.	The louvers are locked in place and no longer work as originally designed. Cost estimate is \$130,800.			Defer. Not critical.
COMMUNITY SERVICES AGENCY					
4	Remodel Buildings B & C, 1300 S. Grand	In Building B, replace existing carpeting and paint walls and ceiling on the first and second floors. Estimated cost for Building B is \$284,000. In Building C, painting, carpeting, reconfiguration of existing partitions, new ergonomic furnishings, and purchase and installation of centralized open shelf filing system. Would maximize workspace for additional staffing. Estimated cost is \$373,000 for Building C.	373,000		Approve Building C remodel. Account coding: 4200-P256
COUNTY COUNSEL					
5	Correct Air Intake at Hall of Administration 1st Floor Office Space	Intake of diesel fumes from idling buses and cars on Santa Ana Blvd. has reduced this office space to storage and law library. Correcting the intake will allow space to be used for personnel again.			This project has been incorporated into the Hall of Administration improvement project.
COUNTY EXECUTIVE OFFICE					
6	New Technical Office Building at the County Operations Center	CEO/Information & Technology requested a new 22,000 to 38,000 square foot technical office building to reduce leased space and accommodate future growth of County and contractor workforce for a growing demand for data and telephone support.			Defer. Additional space not critical.
7	Temporary Buildings at County Data Center	Provide temporary buildings to accommodate between 50 - 100 CEO/IT contractor and County employees plus required support space. Staff needs temporary accommodations if a new technical building at the County Operations Center is approved. This space is requested to house staff displaced by the potential increased utilization of the Data Center raised floor area under the new Data and Telephone systems contract.			Defer. Additional space not critical.
DISTRICT ATTORNEY					
8	Recarpet DA Offices at North Justice Center	Carpet is in excess of 10 years old and in very poor condition.		50,000	Alteration and Improvement. Approve contingent upon the District Attorney funding the project with Prop 172 or within the department's Net County Cost allocation.
9	Lamoreaux Justice Center DA Office Remodel	Replace inefficient and outdated modular clerical stations with new, ergonomic workstations; recarpet clerical area. Existing furniture is non-ergonomic and does not allow staff to operate reception window with security.		35,000	Alteration and Improvement. Approve contingent upon the District Attorney funding the project with Prop 172 or within the department's Net County Cost allocation.

FY 2001-2002 New Capital Project Requests (Continued)

Number	Project Description	Justification/Comments	CEO Approved Projects		
			Agency 036	Other Agency	Recommendation
10	Recarpet DA Offices at Central Justice Center	Carpet is in excess of 12 years old and in very poor condition.		50,000	Alteration and Improvement. Approve contingent upon the District Attorney funding the project with Prop 172 or within the department's Net County Cost allocation.
HEALTH CARE AGENCY					
11	New Water Quality Laboratory	The increased testing and study of recreational water quality has created a need for a dedicated water quality laboratory. The proposed 3,000 sf laboratory would be located on Shellmaker Island in Newport Beach and will include space for California Fish and Game, UCI, and the City of Newport Beach. A temporary facility is being considered while the permanent facility is being designed and constructed.			Rebudget. The project was approved in FY 00-01. \$1.23 million of Tobacco Settlement funding is included in HCA's budget for this project.
PROBATION					
12	Purchase Right-of-Way and Construct Road to Rancho Potrero Leadership Academy	Rose Canyon Road provides access to Joplin Youth Center. The Rancho Potrero Leadership Academy requires separate access. Estimated FY 2001-02 cost is \$1.6 million for the easement acquisition and \$3.7 million for construction of the road.			Rebudget. Request is part of the approved Strategic Priority to construct the Rancho Potrero Leadership Academy.
13	Juvenile Hall, Construction of Additional Support Facilities	New support structures at Juvenile Hall include new school administration/office building, expansion of the kitchen, replacement and expansion of the modular laundry/warehouse, construction of three-bed infirmary and installation of a new 500 kW generator. Juvenile Hall has undergone significant expansion in beds without a commensurate increase in support facilities. Additional support facilities are needed to accommodate the 100 additional beds added, plus another 60-beds to come on-line in May 2004.			Rebudget. Included in the 60-Bed Exp of Juv Hall. Cost of \$12 mil is partially funded by \$4.8 mil from State Grant. Design in progress, funded in Fund 104. Construction begins FY 02-03 so no funding needed in FY 01-02.
14	Juvenile Hall, Housing Unit Renovation	Request to renovate nine units (A - F, I, O, M), the control station, medical wing and four classrooms (6 - 9) at Juvenile Hall. The first units of Juvenile Hall were constructed 48 years ago. The units listed are in need of renovation to correct infrastructure problems that now require major and frequent repairs.			Defer. Probation has applied for a State construction grant which may cover 75% of construction costs.
15	Los Pinos Conservation Camp - Water Storage Tank Replacement	The existing water tank is inadequate in size and water pressure to supply the water required by the Fire Authority. Replace the existing tank with new 180,000-gallon tank (\$500,000) as well as existing pipes (\$250,000) to allow for increased water pressure.			Rebudget. \$750,000 is included in the Los Pinos Renovation project(036-P047)for this project.
16	Youth Guidance Center - Construction of Permanent Classrooms	The school modulars at the Youth Guidance Center have long outlived their life expectancy. As a result, the modulars are deteriorating rapidly and requiring extensive and frequent repair. Request is to replace the school modulars with permanent construction.			Defer. Probation has applied for a State construction grant, which may cover 75% of the construction cost.
17	Youth Guidance Center - Additional Beds	Design and construct a building to house 25 additional beds, two classrooms and additional office and conference space. Youth Guidance Center needs additional beds for girls' treatment, as well as additional classrooms, offices, and conference rooms.			Defer. Probation will apply for a State construction grant, which may cover 75% of the construction cost. County's share of construction and operations cost should be presented as a new Strategic Priority in the 2002 Strategic Financial Plan.
18	Youth Guidance Center - Refurbish Living Unit Bathrooms	Request to refurbish all five living unit bathrooms, replacing sinks, toilets, showerheads, shower controls, and retiling floors and walls. The Youth Guidance Center was constructed in the late 1960's. The bathrooms have never been remodeled and need significant repairs.			Defer.

FY 2001-2002 New Capital Project Requests (Continued)

Number	Project Description	Justification/Comments	CEO Approved Projects		
			Agency 036	Other Agency	Recommendation
19	Juvenile Hall, Replace Exterior Perimeter Fence	Request to replace exterior perimeter chainlink fence and anti-climb wire that surrounds Juvenile Hall. Juvenile Hall has two exterior fences for security purposes. The inside security fence was added several years ago and is in good condition, however, the outside security fence is over 30 years old and is weakened and rusted in places. FY 2000-01 cost estimate was \$340,000.			Defer.
20	Juvenile Hall, Main Water Line Replacement	Request to replace main water line between Units D-K and install isolation valves to each unit. The main water line behind Units D-K has deteriorated and requires frequent emergency repairs. The living units cannot function long without water. Isolation valves should be installed so that repairs being done to one unit do not interfere with the water supply to other units.			Defer. Could be part of Housing Unit Rehabilitation project (#14).
21	Juvenile Hall, Replacement of all Existing Doors	Request to replace all existing doors in the 15 living units at Juvenile Hall. The doors to the rooms in which minors are detained are 35 - 40 years old. They are electronically operated and subject to heavy abuse from detained minors.			Defer. Could be part of Housing Unit Rehabilitation project (#14).
22	Los Pinos Conservation Camp - Separate Air Conditioning System	Request for installation of separate air conditioning system in telephone room to reduce heat. Heat buildup could damage equipment. Cost estimate is \$10,000.			Alteration and Improvement. Probation will prioritize this project within its maintenance funding.
23	Joplin Youth Center - Road Pavement	Request to pave Turkey Springs Road and Pond Road at Joplin Youth Center. Turkey Springs is a dirt road that serves as an emergency exit for Joplin staff/minors. It washes out periodically during inclement weather. A permanent road is needed for the safety and security of the camp's occupants. The Pond Road is used daily and needs to be paved to assure continued access to the sewage pond.			Defer. A new access road is being built as part of the 90-Bed Rancho Potrero Leadership Academy project.
24	Youth Guidance Center - Classroom Modification	Modify existing classroom by adding additional electrical network wiring and workstations to support an additional 15 minors. The Youth Guidance Center provides computer labs to 50 ASERT minors. Expansion by 15 lab sites would enhance program delivery to additional minors.			Defer.
25	Youth Guidance Center - Refurbish Former Shop in Classrooms	Refurbish former shop into two functional classrooms, including installation of sound proofing, room divider, drop ceiling, recessed lighting, and rerouting of vents and electrical conduit. The staff is using the former shop as two classrooms, but the noise and inconvenience is impacting the effectiveness of the classes. Cost estimate from FY 00-01 is \$114,020.			Defer.
26	Juvenile Hall, Asphalt the Area between Two Security Fences surrounding Facility	Juvenile Hall has a 10-foot wide area of dirt between the two security fences surrounding Juvenile Hall. This area requires constant attention to keep the weeds down. Not only are the weeds unsightly and costly to contain, but they offer a place to hide contraband and weapons. Cost estimate from FY 00-01 is \$115,000.			Defer.
27	Joplin Youth Center - Paint all Structures	None of the structures at Joplin have been painted since the bankruptcy. Due to the weather extremes and wind, these structures are in dire need of surface repair and paint. To delay will only cause more damage and higher cost to repair.			Defer. Maintenance projects should be prioritized within Probation's operating budget.
28	Joplin Youth Center - Construct Retaining Wall	Construct a retaining wall behind the maintenance building and between vault and warehouse. The slope is subject to erosion and mudslides, made worse by the recent work to replace water tanks above this area. The construction of a retaining wall to divert runoff will prevent further erosion from damaging these structures.			Defer. Probation uses sandbags to divert water.
29	Youth Guidance Center - Replace Tile in Various Areas	Replace tile in the following areas: dining room, dining room annex, administration reception and hallways, school staff lunchroom, stairway landings, inside stairways, exterior stair foyers, five laundry rooms, two sick rooms and five toilet rooms. The existing vinyl tile is worn, cracked, and lifting due to age and use.			Defer. Maintenance projects should be prioritized within Probation's operating budget.

FY 2001-2002 New Capital Project Requests (Continued)

Number	Project Description	Justification/Comments	CEO Approved Projects		
			Agency 036	Other Agency	Recommendation
30	Joplin Youth Center - Tile Replacement in Kitchen, etc.	Request to replace tile in kitchen hallway, bathroom, and dining room floors. The existing tile is worn and slippery due to age and use. Replacement is requested to reduce the potential of injury to probationers and staff.			Defer. Maintenance projects should be prioritized within Probation's operating budget.
31	Joplin Youth Center - Replace Four A/C Units	Request to replace four air conditioning/heating units (dormitory, SPC office, library, and recreation room). These units have been identified as faulty and in need of replacement. It is important that adequate environmental controls be provided given the severe temperature variations at this facility.			Defer.
32	Juvenile Hall, Repair & Repaint Exterior and Interior	Exterior and interior paint and/or brick sealant is requested for exterior of Juvenile Hall. There has been no painting since before the bankruptcy, and the wear and tear on this juvenile detention facility is beginning to show as walls are deteriorating.			Defer. Maintenance projects should be prioritized within Probation's operating budget.
33	Youth Guidance Center - Paint Exterior of Buildings	The exterior of the facility has not been repaired and painted for several years. As a result, stucco is cracking and crumbling. Painting needs to be accomplished soon in order to avoid major repairs in the near future.			Defer. Maintenance projects should be prioritized within Probation's operating budget.
34	Juvenile Hall, Recarpet Two Areas	Request to recarpet second floor administrative area and first floor custody/non-custody area. The existing carpet is several years old. These are high-traffic areas, causing the existing carpeting to wear thin.			Defer. Maintenance projects should be prioritized within Probation's operating budget.
35	Los Pinos Conservation Camp - Sprinkler System in Soccer Field	Request to refurbish and install sprinkler system in soccer field at Los Pinos Conservation Camp. The existing soccer field is unusable due to lack of grass and hardness of soil.			Defer.
PUBLIC DEFENDER					
36	HJC Public Defender Office - Room Conversion	Convert the reception area (Room 101.1) in the Public Defender's Office to create a new attorney office and a smaller reception area. Construct one dividing wall, move and add doorways, and move the reception window. Due to shift of cases from South Justice Center to Harbor Justice Center, additional Public Defender staff have been assigned to the Harbor Justice Center Public Defender's Office. Presently employees are doubled up in offices and working in the library. Office sharing does not allow attorneys the privacy required when they meet and confer with clients, witnesses, and experts.			Alteration & Improvement. Cost estimate is \$15,000. Public Defender should prioritize this within his existing budget.
37	All Public Defender Areas - Replace Office Furniture	The request is to replace existing furniture with new ergonomic furniture for all staff throughout the offices of the Public Defender. Computers have been provided to staff on inadequate desktops and computer tables thus limiting productivity and increasing the incidents of injury/illness reports. Estimated cost to replace all furniture is \$1 million. Public Defender has installed new furniture for clerical and other staff who are constantly working on computers. Department should work with CEO/Risk Management to improve ergonomics of work stations for the remainder of the staff.			Defer.
38	Lamoreaux Justice Center PD Office - Build-Out of Designated Expansion Space	Request the build-out of 2,000 sf of expansion space (Room 0323) within the Juvenile Public Defender's Office. The space has been vacant and under-utilized since inception. Would make approximately 13 attorney and investigative staff offices for juvenile office and Mental Health unit staff. The Mental Health unit conducts business in the Lamoreaux Justice Center (LJC) and commutes from the Central Justice Center (CJC) in Santa Ana. Discussed relocation of Mental Health cases to the CJC will not take place therefore Mental Health unit needs offices in the LJC.			Defer.

FY 2001-2002 New Capital Project Requests (Continued)

Number	Project Description	Justification/Comments	CEO Approved Projects		
			Agency 036	Other Agency	Recommendation
39	Building 16, 601 Ross St. Remodel	Approximately 36,000 gross square feet of space are vacant and under-utilized. The Public Defender would relocate staff from leased space in the Civic Center area to this building. Design and construction is estimated at \$3.4 million and annual operating cost is \$170,000. Payback from lease savings is about 13 years. This project was submitted to the Board as a strategic priority in the 2001 Strategic Financial Plan. However, the Board did not approve funding.			Defer. CEO is considering developer lease-back option.
SHERIFF-CORONER					
40	DNA Laboratory Modification	Modify DNA laboratory electrical, plumbing and lab furniture, to (1) accommodate additional staff to provide expanded service and (2) accommodate changes in technology. Estimated cost is \$100,000.			Rebudget. Budgeted \$50,000 Fund 14B, \$25,000 DNA Grant, and \$25,000 Agency 060.
41	Install Closed Circuit TV Surveillance System - Second Floor Housing Areas of Intake Release Center	Housing areas are the primary locations for assaults on staff and allegations of misconduct. Cameras would increase safety for staff and reduce liability costs by documenting unwarranted allegations. System would assist in prosecution of assault cases. System would augment existing systems on the first floor. Estimated cost is \$234,000.			Rebudget. Budgeted in Fund 14B.
42	Acquire and Install a Cook Chill System - Lacy Jail	Remodel the kitchen area to accommodate new equipment, electrical lines, water lines, water drainage, exhaust system, conveyors, packaging, freezer & cooler storage, etc. This is to anticipate the population increase of Theo Lacy and other facilities. Food Services will be capable of up to 45 days of advance cooking, resulting in decreased food cost.			Rebudget. \$900,000 budgeted in Fund 14Q.
43	Construction of Building B - Theo Lacy Facility	Building B would provide a six-level maximum security facility containing a total of 576 beds. Building B would be the last inmate housing constructed at the Lacy Facility per the existing EIR. Design to be completed by the end of FY 00-01. Estimated cost is \$36 million.	36,000,000		Approve. Funding was approved in the 2001 Strategic Financial Plan. CEO will consider financing the project. Appropriations budgeted in Fund 14Q.
44	Replace/Rebuild Condemned Kitchen and Inmate Messhall Building (West Compound) - Musick Facility	In August 1999, the West kitchen and inmate messhall was demolished due to mold contamination. Approximately 3,000 daily inmate meals are prepared in the one remaining kitchen (circa 1964) located in the East compound. The East kitchen was originally built to prepare 600 daily inmate meals. Mass movement of inmates three times a day across the facility from the West compound to the East could create a hazardous situation for staff and inmates.	1,200,000		Approve. Sheriff will fund \$1.1 million from Prop 172 and \$.1 million from 14B.
45	Replace Kitchen Sewer Sump Tank - Central Men's Jail	Sewage sump catches all sewage generated in basement kitchen. This sump is 35 years old and has rusted through. Temporary repairs expected to fail within 12 months.	150,000		Approve. Sheriff will budget in Agency 060, funded by Tobacco Settlement Revenue.
46	Lead Paint Removal - Theo Lacy Facility	Original paint coatings used during 1967 construction of facilities contain lead, a known carcinogen. Maintenance of the facility disturbs paint, creating possible health hazard for inmates, public and staff.	80,000		Approve. Sheriff will budget in Agency 060, funded by Tobacco Settlement Revenue.
47	Replace Hydronic Piping in Basement - Central Men's Jail	Replace rusted out hydronic (hot water) piping in basement serving air handlers, 2, 3, 4, 5, & 7. Failed piping has resulted in no heat source to warm basement areas including kitchen and food service offices.	50,000		Approve. Sheriff will budget in Agency 060, funded by Tobacco Settlement Revenue.
48	Clean HVAC Ductwork throughout Intake Release Center	Dirt and lint build-up in ducts interferes with proper ventilation of inmate housing facility and causes malfunctions of fire alarm duct sensors. Safety and health concern for inmates and staff.	200,000		Approve. Sheriff will budget in Agency 060, funded by Tobacco Settlement Revenue.
49	Service/Overhaul of Washers and Dryers at Musick Facility	Four (4) washers and four (4) dryers are used to clean inmate clothing for jail system. Machines are ten (10) years old, run 18-20 hours per day and are due for major overhaul. This will extend useful life another ten (10) years. Replacements valued at \$480,000.	220,000		Approve. Sheriff will budget in Agency 060, funded by Tobacco Settlement Revenue.

FY 2001-2002 New Capital Project Requests (Continued)

Number	Project Description	Justification/Comments	CEO Approved Projects		
			Agency 036	Other Agency	Recommendation
50	Fire Sprinkler System - Central Men's and Central Women's Jails/Sheriff's Headquarters	The buildings do not currently have any fire suppression systems and staff time is being spent to perform hourly fire checks 24 hours per day, 7 days per week. The installation of fire sprinkler systems in each building is necessary to ensure safety of employees, visitors, and inmates, conform with Fire Codes, and meet Title 15 requirements for jails. Estimated cost is \$2,000,000.			Defer. Funding not available. Sheriff will continue hourly fire checks.
51	Main Control Refurbishing - Central Women's Jail	Redesign main control and replace existing equipment with more advanced communications audio/video equipment. The manual switches often break, and the console circuitry malfunctions causing manual operation of inmate housing and corridor doors. There are too few monitors to adequately monitor inmate movement and activities.		250,000	Approve. Sheriff will budget in Fund 14B.
52	Redesign of the Booking Loop to Incorporate LIVESCAN Fingerprinting Capabilities	This will increase the efficiency of the booking process to keep up with the large increase in volume since the original design in 1988. Project will also increase the photo database of arrestees to include tattoos, marks, and scars. LIVESCAN fingerprinting is going to be mandated by the Department of Justice. In addition to LIVESCAN, the booking loop was not designed to handle the large increase in volume that it has had since the original design in 1988. Estimated cost is \$400,000.			Rebudget. Budgeted in Fund 14B.
53	Replace the Automated Telephone System for the Inmate Records Bureau	Existing telephone system can no longer be repaired and is insufficient to handle the workload of inmate records. Estimated cost is \$50,000.			Rebudget. Budgeted in Fund 14B.
54	Add and Expand Use of CCTV Systems in Barracks, Hallways and Lobby - Theo Lacy Facility	Currently the facility's CCTV (closed circuit TV system) is made up of numerous separate systems. For ease of maintenance, access and review, the system needs to be integrated into one system. Further, additional cameras, monitors and VCR equipment need to be purchased and installed to enhance security in the lobby, barracks, modules, and inmate chow hall. Estimated cost is \$300,000.			Rebudget. Funded in Fund 14B.
55	Men's Central Jail Basement Kitchen - Various Changes	Request to relocate, expand, convert and remodel freezers, coolers, meat cutting area, bread room, bean room, etc. to increase freezer and refrigeration storage space and comply with CURFFL requirement. To maximize and increase storage space. To comply with CURFFL requirement on freezer/cooler temperature.		485,000	Approve. Sheriff will budget in Fund 14B.
56	Remodel Visiting Area from Contact to Non-contact - Theo Lacy Facility	Request to construct security barriers, glass and add telephones for communication between inmates and visitors. During the construction of this visiting area, at least two (2) contact visiting booths will be constructed to deal with court ordered contact visits between inmates and their attorneys. Because of the nature of the higher risk level of inmates now being housed at the facility, the facility is in need of upgrading the security of the existing visiting area for the safety of staff, visitors, inmates and the public. Currently we provide contact visiting for inmates who on occasion receive narcotics, weapons and other contraband passed from the visiting public. Estimated cost is \$470,000.			Rebudget. Sheriff will budget in Fund 14Q, \$370,000 funded by 14Q and \$100,000 funded by 14B.
57	Re-roof Barracks F - Theo Lacy Facility	There are chronic roof leaks at inmate housing building "F" at Theo Lacy. Patchwork by maintenance crew must be re-done annually to stop leaks after rains.		60,000	Approve. Sheriff will budget in Agency 060, funded by Tobacco Settlement Revenue.
58	Overhaul Cooling Tower and Heating System for Administration Building and Kitchen - Theo Lacy Facility	Heating and cooling system performance has degraded with lack of regular maintenance. Overhaul needed to restore proper operation so that maintenance can keep the system in good working order.		60,000	Approve. Sheriff will budget in Agency 060, funded by Tobacco Settlement Revenue.
59	Water Heater Replacement - Theo Lacy Facility	Replace water heaters with a high efficiency boiler and storage tank (kitchen and administration building). Multiple heating units chronically fail and are inefficient, wasting natural gas energy. SCAQMD regulations are set to require replacement to low-emission alternative by 2004.		130,000	Approve. Sheriff will budget in Agency 060, funded by Tobacco Settlement Revenue.

FY 2001-2002 New Capital Project Requests (Continued)

Number	Project Description	Justification/Comments	CEO Approved Projects		
			Agency 036	Other Agency	Recommendation
60	Replace Environmental Control System Software and HVAC Pneumatic Controls with DDC - Theo Lacy Facility	Original controls for HVAC management require compressed air to operate. Compressors and pneumatic devices are costly to maintain and prone to break down. Software to various phases of building is mismatched.		125,000	Approve. Sheriff will budget in Agency 060, funded by Tobacco Settlement Revenue.
61	Renovation of Second Floor at Aliso Viejo Sheriff's Station	The nine current staff members assigned to the South Operations traffic office are occupying space originally allocated for five staff members. No additional office space exists on the first floor and the traffic office is anticipating additional growth of 2-3 staff members in FY 2001-02. Renovation of the second floor will provide adequate office space for the current and anticipated staff level. Estimated cost is \$75,000.			Rebudget. Budgeted in Fund 14B.
62	Renovations to Barn, Corral, and Office for Sheriff's Mounted Enforcement Unit, Musick Jail	Existing barn and corral are dilapidated and cannot be repaired. Due to increased number of horses and feed, equipment, tack, existing facilities must be expanded. Estimated cost is \$50,000.			Rebudget. Budgeted in Fund 14B.
63	Renovate Barracks A-E - Theo Lacy Facility	Barracks A-E were built in 1960 and are in great need of repair and renovation. Barracks A-E were originally planned to be demolished and replaced during Phase IV of the Theo Lacy Expansion. Phase IV called for the construction of a new building located on the current site of the Animal Shelter. By retaining and renovating Barracks A-E for the 424 inmates housed there, and modifying Phase III of the Lacy Expansion (Building "B") by adding an additional two floors, the County will be able to save approximately \$20 million in construction costs and \$1 million in annual operating costs, by the elimination of Building "D". The 424 inmates currently housed in Barracks A-E can be transferred to the soon to be completed Building "A" in June, 2001, and can remain there for the approximate six month time period required for the renovation.		2,500,000	Approve. Sheriff will budget in Fund 14Q.
64	Replace four (4) HVAC Units at Theo Lacy Barracks	Rooftop HVAC units have corroded beyond economical repair. Chronic leaks are allowing water into building, rusting out burners and accelerating complete failure.		180,000	Approve. Sheriff will budget in Agency 060, funded by Tobacco Settlement Revenue.
65	Install New Alarm System at the SJC Annex Laguna Hills to include Courtroom Alert and Clerks Office	Existing alarm system only notifies the central security desk. Request is for wireless paging system. Funding for security equipment is the responsibility of the Court. Estimated cost is \$75,000.			Defer. Sheriff should request funding from the Court.
66	Enclose Prisoner Bus Bay - West Justice Center & South Justice Center, Laguna Niguel	Request to enclose prisoner bus bay to prevent escapes. The Orange County Grand Jury has recommended that the Board of Supervisors provide funding to build sally port enclosures at WJC and SJC facilities. Absence of sally port protection is an invitation for an escape or organized ambush. SJC cost estimate is \$150,000 and WJC cost estimate is \$200,000. Estimated total cost is \$350,000.			Defer. Would be part of a new South Court Facility. West Justice Center has rolling gates which control inmate movement from the bus to the building.
67	Central Justice Center Detention Area Anti-graffiti Painting	Repaint detention areas with anti-graffiti paint to avoid tagging and gang messaging in holding areas. Estimated cost is \$50,000.			Alteration and Improvement.
68	South-East Sheriff Substation	Construct a new substation in South County, east of the I-5 to save money, increase productive patrol time, reduce response time and enable the Sheriff's Department to better serve the citizens in this rapidly growing area. Estimated cost is \$5,100,000.			Rebudget. Budgeted in Fund 141 - Sheriff Substation Fee Program.
69	Programs Unit Center at James A. Musick Facility	The proposed Programs Center will maximize labor efficiency and cost efficiency of operations by situating staff workstations and programs in a centralized location, where staff duties and equipment can be shared as needed. Project plans were completed and approved last year, but have been delayed due to Measure F and suits filed by Lake Forest and Irvine. Estimated cost is \$440,000.			Rebudget. Budgeted in Fund 144 - Inmate Welfare Fund.

FY 2001-2002 New Capital Project Requests (Continued)

Number	Project Description	Justification/Comments	CEO Approved Projects		
			Agency 036	Other Agency	Recommendation
70	Inmate Job Development Center at Musick Facility	Program is designed to reduce recidivism related to unemployment. Inmates often face huge barriers in obtaining their first post-release job, negating substantial rehabilitative progress made while incarcerated. This center would enlarge the scope and availability of inmate assistance by linking training and placement efforts with community employers. The program will therefore benefit local business, the community, and the inmate participants.		532,805	Approve. Budgeted in Fund 144 - Inmate Welfare Fund.
71	Remodel of Vacant Communications Space at Lacy into Staff Workstations	Other than a small receptionist office in the Programs Building and Chapel, and a librarian's workstation in the Library, Lacy's buildings do not include workstations for the 13 Programs Unit staff based at that facility. By converting the Communications Building, needed space can be obtained without creating a negative impact to the facility. This project will allow the Programs Unit inmate classroom currently used as office space to be returned to its intended use. Estimated cost is \$330,000.			Rebudget. Budgeted in Fund 144 - Inmate Welfare Fund.
72	Remodel of Unneeded Space in Men's Central Jail Recreation Area into CPT Workstation	Staff conducts mandated inmate programs on roof. Remodel of unused space will maximize time spent conducting inmate activities by eliminating need for staff to make repeated trips to the first floor to obtain or store basic supplies, access counseling and referral materials, complete reports, and respond to queries from vendors, supervisors, and volunteer or loaned staff who provide inmate programs under the CPT's coordination. Estimated cost is \$80,000.			Rebudget. Budgeted in Fund 144 - Inmate Welfare Fund.
73	Construction of Programs Rooms and Staff Workstations - Women's Central Jail and IRC-K	Programs offered at other jail locations cannot be offered at IRC-K or WCJ due to lack of space and/or small classification groups, creating potential legal complaints from inmates denied services. A single classroom currently exists for inmates housed at WCJ. The space accommodates only 25 inmates; many inmates are released before they can participate in mandated educational and developmental programs. It is cost efficient and labor efficient to allow classes to combine women from WCJ and IRC-K whenever possible. The potential for cost-offset or revenue generating programs is also severely restricted due to lack of space. Estimated cost is \$825,000.			Rebudget in Fund 144 - Inmate Welfare Fund. This project was deleted by Sheriff.
74	Heating and Cooling System for the Vocational Education Building at James A. Musick Facility	Installation of the HVAC system, insulation, and rain gutters will assure OSHA compliance and effectively address the health and safety of staff and inmates working in the Vocational Education Building.		153,500	Approve. Sheriff will budget in Fund 144 - Inmate Welfare Fund.
75	Dust Collection System for James A. Musick Facility Vocational Building	Installation of the dust collection system will assure OSHA compliance and effectively address the health and safety of staff and inmates working in the Vocational Education Building.		150,000	Approve. Sheriff will budget in Fund 144 - Inmate Welfare Fund.
76	Coroner Facility Expansion	To build a statewide coroner training facility with attached coroner's office and morgue operation. In September 2000, \$10,000,000 was awarded from the State to assist with construction costs. Construction start date is scheduled for July 2001 with estimated completion date in July 2002. The Coroner operations has been relocated to permit demolition of the existing facility. Construction of this new facility will increase services to the public, increase readiness capabilities in the event of a mass fatality disaster, investigation training, and may have the potential of generating revenue by lease of classroom space to educational institutions.			Rebudget: \$12 million budgeted in Fund 14Q.
77	Centralize Laundry Facilities for all Orange County Adult Jails, Juvenile Hall and Orangewood Children's Home	Centralizing the laundry operation at the Musick Facility will reduce overall laundry costs by 30-40% as compared to the private sector. The estimate of annual savings is based on a comparison to the existing decentralized operation. Estimated cost is \$7,000,000.			Rebudget. Budgeted in Fund 14Q and funded \$6,000,000 from 14B and \$1,000,000 from 14X.

FY 2001-2002 New Capital Project Requests (Continued)

Number	Project Description	Justification/Comments	CEO Approved Projects		
			Agency 036	Other Agency	Recommendation
78	James A. Musick Facility Jail Expansion Master Plan	Sheriff would begin Master Planning efforts for expansion of Musick upon conclusion of recent discussions about the final size of the facility. Approved EIR allows expansion to 7,968 beds. New jail construction could include inmate housing, inmate medical care, custodial substance abuse treatment facility for 500 beds, warehouse, laundry facilities, food services, parking, administration and a central utility plant.			Master Plan is not a capital project. Sheriff would prioritize this study within his existing funding sources.
79	Refurbishment of Sheriff's Headquarters Building	Refurbishment of Sheriff's Headquarters building to include: replacement of tile, fixtures and lighting in restrooms, addition of security screening point in lobby, remodel of employee lounge and employee locker rooms. Sheriff's Headquarters is over 30 years old. The restrooms and locker rooms are in need of new tile, fixtures and lighting due to age. The building has no security/screening point in the lobby. A security point will allow staff to direct the public and provide some employee security.		4,000,000	Approve. Sheriff will budget in 14Q. Funding sources: \$2,000,000 from 14B and \$2,000,000 from 060.
80	800 MHz Radio System - Corrective Action in System Coverage	The 800 MHz radio system is in its final implementation phase. The system will require ongoing monitoring, testing and engineering for improvement. Estimated 3 to 7 new sites are required to fill in areas of little or no signal coverage in the South Coast, West-Central County, East Central, and North Canyon areas.		1,000,000	Approve. Sheriff will budget in Fund 15L.
SOCIAL SERVICES AGENCY					
81	Children & Family Services, Eckhoff Facility - Emergency Generators	Request is for two emergency generators to ensure that services continue in the event of a disaster or electricity black out. This facility houses mandated programs such as the Child Abuse Registry. Facility would be functional so that staff could provide emergency response to child abuse reports.		1,032,000	Approve. Will be budgeted in SSA's budget (063). Fed/State Funding - 88%; County - 12% (Realignment).
82	Orangewood Children's Home - Improve Sibling and Preschool Cottages	Group sleeping rooms need to be converted to bedrooms by adding walls. The new licensing rules for children under six years old require that they sleep in private rooms with no more than two beds per room. The current configuration is "bunkhouse" style and will not meet the new regulations.		75,000	Approve. Budgeted in Agency 063. Fed/State Funding - 88%; County - 12%(Realignment)
83	Orangewood Children's Home - Improve Perimeter Security	Request to increase the height and structural integrity of the fence. This will discourage youth from climbing to escape the facility and discourage unwanted visitors from illegal entry. There have been several incidents of injury to children climbing the fence and of visitors attempting unlawful entry.		179,000	Approve. Will be budgeted in SSA's budget (063). Fed/State Funding - 88%; County - 12% (Realignment).
84	Central Regional Office, 2020 W. Walnut, Santa Ana, Remodel	To make improvements to expand the total number of workstations available. This will allow SSA to relocate additional staff and equipment into this facility. The improvements are needed to maintain core program operations, expand services, and to upgrade the facility to a standard consistent with others occupied by SSA. It is scheduled to be completed in three phases, ending in June 2002.			Rebudget. Budgeted in SSA's budget (063). Primarily funded by Federal and State funding. Total cost will exceed \$2 million. Scope of project was amended to include replacement of heating boilers at \$180,000.
85	Santa Ana Regional Center, 1928 South Grand Ave., Remodel	Reconfigure the reception, lobby and adjacent areas to meet the programmatic changes brought on by Welfare Reform and the agency reorganization. Design began in FY 99-00.			Rebudget. \$660,000 budgeted in SSA's budget (063). Funded 100% by Federal/State/Realignment.
86	Anaheim Regional Center, 3320 La Palma Ave., Remodel	Reconfigure the reception, lobby and adjacent areas to meet the programmatic changes brought on by Welfare Reform and the agency reorganization. Design began in FY 99-00.			Rebudget. \$1,080,000 budgeted in SSA's budget (063). Funded 100% by Federal/State/Realignment.
87	Laguna Hills Regional Center, Remodel	Work includes expansion of the current lobby area, build-out of a small mailroom, improving client interview space, installation of ergonomic workstations, and elimination of workstations that may impede egress during emergency situations.			Rebudget. \$75,000 budgeted in SSA's budget (063). Funded 100% by Federal/State/Realignment.

FY 2001-2002 New Capital Project Requests (Continued)

Number	Project Description	Justification/Comments	CEO Approved Projects		
			Agency 036	Other Agency	Recommendation
88	West Regional Center, 12912 Brookhurst, Garden Grove, Remodel 2nd Floor	To provide more efficient client services for CalWORKS programs. When SSA moved into the second floor of the facility, the space was taken on an 'as is' basis. These constraints have forced the placement of furniture in a manner that results in crowded and unsafe conditions throughout the floor. This project was approved in FY 99-00, implementation was postponed to allow for programmatic and staffing adjustments related to CalWORKs and the relocation of staff to this facility from a location that was closed. The updated estimated cost of this request reflects the cost to meeting programmatic and safety needs. Cost estimate is \$301,250.			Rebudget. \$301,250 budgeted in SSA's budget (063). Funded 100% by Federal/State/Realignment funding. Budgeted at \$165,000 in FY 99-00.
89	60-Bed Group Placement Facility at MCAS Tustin, Design	Identified in the Shelter Care Master Plan adopted by the Board. The facility would house children under the age of 6 to help meet regulations in AB 1197, which establishes 30 days as the maximum time which children under the age of 6 can be housed in emergency shelters. The Board approved this project in the 98-99 Strategic Financial Plan with design (\$0.6 mil) being funded in FY 1999-00. Estimated construction cost is \$7.4 million. Design was started but now is on hold pending resolution of legal issues pertaining to the use of the former base.			Rebudget. Approved in Strategic Financial Plan. Budgeted in Agency 14T. Funded from surplus rent generated by Phase I of the Shelter Care Master Plan. No new funding needed for FY 01-02.
90	Orangewood Children's Home - Remodel Bathrooms	Request to improve the bathrooms to meet ADA requirements and repair worn items.		100,000	Approve. Funded 100% by Federal/State.
SUPERIOR COURT					
91	NJC - Remodel Vacated Space on Third Floor	Includes removing and replacing walls and building counters to reuse space vacated by the Public Defender. Remodel will reduce severe overcrowding of operations in the Court's Criminal Offenses office.		451,700	Approve. Budgeted in Fund 14U.
92	CJC - Remodel Office Area for Court Budget Staff	Provide enclosed offices and adjacent open office area for Court budget staff on the north side of the first floor of the tower. Unification of the courts resulted in reorganization of administrative functions. Budget staff needs to be consolidated in the same area.		47,240	Approve. Budgeted in Fund 14U.
93	CJC - Office Improvements for Court Reporter and Interpreter Services	Remodel previously occupied space on third floor. The third floor location has ready public access for court reporters and the public while maintaining security for other transcripts that are stored or being prepared. Project will improve service to public and increase efficiency of operations.		30,900	Approve. Budgeted in Fund 14U.
94	CJC - Provide Secure Room for Appellate Transcripts	Provide a new secure room, with access restricted to appellate clerks only to assemble transcripts from capital cases. Current area for filing the records is inadequate and not adequately secured if they are commingled with the court's general criminal records.		41,100	Approve. Budgeted in Fund 14U.
95	All Justice Centers - Remodel Court Clerk Stations	Comprehensive redesign for function/ergonomics. As workflow in court rooms has become more automated, installation of computer equipment has reduced the functionality of the court room clerk workstations. \$100,000 recommended for design and partial implementation.	100,000		Approve. Account coding: 1400-P223
96	NJC - Secure Judges Parking	Provide enclosed judges parking. With unification, North Justice Center is handling an increasing number of felony matters. To reduce the possibility of an unplanned dangerous confrontation between a judge and defendant/litigant or a potential planned ambush, enclosed parking is needed.		287,000	Approve. Will be budgeted in Fund 14U. Scope of the project is being developed.
97	HJC, Laguna Niguel - Public Offenses Counter Remodel	Replace counters with workstations to provide better ergonomics and conserve available space. The new stations will also improve operating and space efficiency by bringing the desk and counter functions into one unit.			Approve. Funding for this project is included in the rebudgeted ADA remodel project.

FY 2001-2002 New Capital Project Requests (Continued)

Number	Project Description	Justification/Comments	CEO Approved Projects		
			Agency 036	Other Agency	Recommendation
98	HJC, Laguna Hills - Remodel Clerk Counters	Redesign public counters for Civil/Small Claims and Traffic to provide better ergonomic and eliminate need for desks in addition to counters. Redesign will permit staff to reduce standing time required to serve the public and improve operating efficiency by bringing the desk and counter functions into one unit. Estimated cost is \$94,800.			Defer.
99	Five Court Facilities - Modifications for Weapons Checkpoints	Weapons screening at County courthouses is recommended to protect public safety. Staffing and equipment funding is pending in the State budget for the five remaining court facilities currently without screening. Modifications to the facilities' entrances and lobby areas may be necessary to ensure the proper flow of people through the stations, to restrict access at other entrances, and to provide safe exiting overall.		200,000	Approve. Budgeted in Fund 14U. Scope of the project has not been determined. Staff is developing options to minimize facility cost while meeting fire and operating requirements.
100	All Justice Centers - Repair/Replacement Program for Juror/Audience Seating	Public courtroom seating is in need of repair and/or replacement. Requested funding for FY 01-02 is \$250,000 to replace seating which is most worn. Future year costs, if any, will be determined later.	250,000		Approve. Account coding: 4200-P220
101	HJC, Laguna Niguel - Facility Fire Alarm	Court requests installation of an alarm system to protect public and staff.			Project will be completed in FY 00-01.
102	HJC, Laguna Hills - Remodel Traffic Lobby	The Traffic Lobby at Laguna Hills is adequately sized. However, the overall operation at Laguna Hills is very short of storage space for computer equipment and files. Additional storage space could be created by reallocating space from the public queuing area in order to improve staff efficiency by reducing the amount of time currently devoted to handling files with inadequate space.			Defer.
103	WJC - Two Employee Restrooms	The 36 employees in the north east end of WJC share the public restrooms with 2,400+ court visitors and jurors daily. Employees are identifiable by their badges and are confronted by customers when they should have some expectation of privacy. A two-stall facility would offer some privacy and more efficient access. Estimated cost is \$110,000.			Defer.
104	Lamoreaux Justice Center - Mediation Reception Counter Remodel	Request to rebuild the mediation reception counter with four workstations. Current layout does not permit privacy in work space and the lines cannot be separated for efficient service. Managers who are asked to handle complicated issues must lean over staff to reach the window. Request would create a separate station or window for confidential matters. Estimated cost is \$48,000.			Alteration and Improvement.
105	WJC - Remodel Public Information Booth in Lobby	This project would provide a secure enclosure for the staff in the lobby public information booth. The current information booth provides no physical protection to staff from potentially distraught or unruly court users.			Alteration and Improvement.
106	HJC, Newport Beach - New Juror Restroom for Depts H13 and H14	These departments do not have the restrooms that are normally attached to deliberation rooms. This project would construct a restroom to serve either deliberation room so the jury does not have to stop deliberations, leave the room and take a recess every time a juror needs to use the restroom. This project will improve efficiency by eliminating the current disruptive, time-wasting, and potentially embarrassing process.			Project will be awarded in FY 00-01.
107	Lamoreaux Justice Center - 7th Floor Office Remodel	This project will create a supervisor's office by enlarging a current storage room. A new doorway will allow staff to move between the staff area and public area. This project will increase efficiency by bringing filing clerks and their supervisor together in the public viewing service area and by providing improved access to the public areas where clients need assistance.			Defer.
108	Lamoreaux Justice Center - Probate Filing Counter Remodel	Request to redesign the windows and counter, provide a lowered station for ADA compliance, and install controlled access and security cameras. The counter is not ADA compliant and staff cannot see clients viewing files. Some files contain sealed items and original documents such as wills that must be protected.			As agreed to by Courts, County will address ADA compliance, Courts will provide cardkey access and cameras.

FY 2001-2002 New Capital Project Requests (Continued)

Number	Project Description	Justification/Comments	CEO Approved Projects		
			Agency 036	Other Agency	Recommendation
109	HJC, Newport Beach - Fire Alarm Upgrade	An upgrade to the fire alarm system is required to allow Sheriff deputies to identify the location of the activated alarm. This will allow staff to locate and potentially abate problems or coordinate resources more effectively to improve safety.			Defer.
110	NJC - Law Library Expansion	State Facilities Guidelines recommend that a judicial law library/conference room be provided to serve every five to eight judicial officers. The current law library that serves 18 judges is a converted deliberation room and closet which together are too small to house the collection. Room could be enlarged by removing the restrooms and a closet which are no longer needed. Although the room would still not be large enough for conference purposes, it would house all required reference materials.			Defer.
111	WJC - Restroom in Court Administration	This project would provide a one-stall facility for staff in court administration. The twelve employees in the court administration area share the public restrooms with 2,400+ court visitors and jurors daily. Employees are identifiable by their badges and are confronted by customers when they should have some expectation of privacy.			Defer.
112	WJC - Enclose Walk-Up Windows in Criminal/Traffic Department	This project would make a permanent addition to the existing structure to partially enclose the area to provide protection from wind and rain. The majority of WJC court visitors conduct business with the Traffic/Criminal Department at the walk-up window. Presently there is inadequate protection from a high roof.			Alteration and Improvement. Design is in progress.
113	CXC - Entrance/Lobby Remodel	Redesign Complex Litigation Center entrance to accommodate increased expected foot traffic and related needs for queuing and weapons screening, and for better visibility. A covered area is requested for jurors, litigants, and visitors to protect them from inclement weather while queuing at the entrance.			Defer pending operational experience.
114	HJC, Newport Beach - Enclose Four Managers' Offices	Four division managers have modular offices which do not provide required privacy for meeting with supervisors, counseling employees, conducting other confidential business, or working on projects that require quiet concentration. Enclosing the managers' offices will improve operational efficiency by allowing managers to conduct the normal management activities that require a private office.			Alteration and Improvement.
115	WJC - Remodel Lobby in Court Administration	Eliminate existing windows and provide an open counter where staff can accept packages and documents. The existing two windows are used extensively by the public, court personnel, vendors, jurors, and other agencies. They are too small and poorly designed with ergonomic and lighting problems.			Alteration and Improvement.
116	NJC - Jury Box in Department N18	The courtroom is now limited to calendar court functions. The addition of a jury box will permit use of the courtroom for jury trials. This will facilitate overall case processing at NJC and improve efficiency by allowing more flexibility in assigning cases. The project furthers the goals of unification by allowing more efficient use of judicial officers and courtroom facilities.			Alteration and Improvement.
117	WJC & HJC, Laguna Niguel - Install Emergency Generators	Court requests emergency power and lighting capacity throughout all of both facilities. Currently, the Laguna Niguel facility has a small capacity power source for emergency lights in the building and holding cell area as well as the electric locking and gate system for the holding cells. The WJC also has backup power in the holding cells and battery powered emergency exit lighting. All court facilities are considered essential facilities and are exempt from rolling blackouts.			Defer. Safety issues are addressed.
Total Cost			1,304,135	50,105,245	

FY 2001-2002 Maintenance, Repair, and ADA Plan

Agency	Object	Organization	Description	FY 01-02 CEO Recom.	Funding Sources			Comments	
					General Fund	Other Funding Source			
						Amount	Fund		Agency
Annual Maintenance/Repair									
036	1400	P213	LJC - Recaulk all Facia Panels	315,000	315,000			None	
036	1400	P214	LJC - Protective Coat Facia Panels	126,000	126,000			None	
036	1400	P215	NJC - Install Hot Water Control Valves	50,000	50,000			None	
036	1400	P216	COC, Bldg B - Eng/Des/Repl 4160 Switch	75,000	75,000			None	
036	1400	P217	HJC, Laguna Niguel - Replace Ceiling Sound Panels	75,600	75,600			None	
036	1400	P219	Bldg 12 - Replace Elevator Jacks on # 3 & 4	88,200	88,200			None	
036	1400	P222	CJC - Replace Domestic Water Tower	30,000	30,000			None	
036	1400	P225	MOB - Upgrade Main, Risers, Transf Air Handlers	100,000	100,000			None	
036	1400	P226	FAC OPS - Replace 6 HVAC Package Units	75,000	75,000			None	
036	4200	P208	Energy Conservation Projects - Energy Audit	1,800,000	1,800,000			None	
036	4200	P209	HOA - Install DDC Controls, Floors 1 - 4.5	330,000	330,000			None	
036	4200	P210	Bldg 12 - Complete DDC Conversion	120,000	120,000			None	
036	4200	P211	HJC - Design/Install Remediation System	175,000	175,000			None	
036	4200	P212	NJC - Replace Phase III Rack Chillers	200,000	200,000			None	
036	4200	P218	WJC - Repl Phase I Rack Chiller & Water Tower	250,000	250,000			None	
036	4200	P221	WJC - Replace Air Handler # 1	170,000	170,000			None	
036	4200	P224	Bldg. 12 - Replace 7 East & West Air Handlers	900,000	900,000			None	
Subtotal				4,879,800	4,879,800				
Deferred Maintenance/ADA - Per Strategic Financial Plan									
036	1400	P205	CJC - Replace Elevator Controls - Ph IV	112,100		112,100	15S	15S	Special Designated Revenue
036	1400	P206	CJC - Replace Elevator Controls - 7 & 8	67,260		67,260	15S	15S	Special Designated Revenue
036	1400	P207	CJC - Replace Elevator Motors - 9 & 10	100,890		100,890	15S	15S	Special Designated Revenue
Subtotal				280,250	0	280,250			
Total New Maintenance/Repair/ADA Projects				5,160,050	4,879,800	280,250			

FY 2001-2002 Rebudgeted Capital Projects

Agency	Object	Organization	Agency/ Department	Project Name	FY 00-01 Budget	FY 01-02 CEO Recom.	Funding Sources		
							General Fund	Other Revenue	
								Amount	Source
036	1400	P012	Probation	Prob - Reroof Modulars at Juvenile Hall	12,000	23,000	23,000		None
036	1400	P015	Probation	Prob - Replace Dorm Control Desk - JYC	52,600	34,600	34,600		None
036	1400	P016	Probation	Prob - Replace Gate Camera & Elects - JYC	71,000	51,000	51,000		None

FY 2001-2002 Rebudgeted Capital Projects (Continued)

Agency	Object	Organization	Agency/ Department	Project Name	FY 00-01 Budget	FY 01-02 CEO Recom.	Funding Sources	
							General Fund	Other Revenue
								Amount Source
036	1400	P019	Probation	Prob - Refurbish 3 Dorm Bathrms - LPCC	170,000	170,000	170,000	None
036	1400	P022	Probation	Prob - Retile Dorm Bathroom Floor - JYC	11,400	16,400	16,400	None
036	1400	P024	Probation	Prob - Renovate/Irrigate Athletic Fields-JH	751,290	177,825	177,825	None
036	1400	P025	Probation	Prob - Remount Roof Drains - YGC	62,000	52,000	52,000	None
036	1400	P027	Probation	Prob - Refurbish Football Field - JYC	45,600	20,600	20,600	None
036	1400	P030	WJC	PFRD - Repair Asphalt - West JC	90,320	8,320		8,320 Special Design Rev (15S)
036	1400	P043	Sheriff	Deferred Maintenance - Sheriff	2,405,600	2,666,326	2,666,326	None
036	1400	P044	Auditor-Controller	Remodel Auditor-Controller Offices	250,000	147,200	147,200	None
036	1400	P046	Probation	Prob-Joplin, Slope Reinforcement/Terrace	120,000	130,000	130,000	None
036	1400	P102	Multiple	MOB - Replace all Mixing Boxes (5 Floors)	750,000	5,000	5,000	None
036	1400	P103	Multiple	Lighting Upgrade - Determined by Business Plan	400,000	395,000	395,000	None
036	1400	P106	PFRD	Central Garage - Structural Survey	40,000	35,000	35,000	None
036	1400	P111	CJC	CJC - Replace Elevator Assembly Ph III	129,600	4,600		4,600 Special Design Rev (15S)
036	1400	P113	CJC	CJC - Replace Elevator Controls - Ph III	104,000	4,000		4,000 Special Design Rev (15S)
036	1400	P114	WJC	WJC - Replace Fire Alarm	44,800	64,631		64,631 Special Design Rev (15S)
036	1400	P115	CJC	CJC - Replace Elevator Motors	90,200	7,000		7,000 Special Design Rev (15S)
036	1400	P116	SJC	SJC - Replace Wheelchair Lift	21,600	2,000		2,000 Special Design Rev (15S)
036	1400	P121	Multiple	Repair HVAC Sys - Hall of Rec & Finance	32,400	168,030		168,030 Special Design Rev (15S)
036	1400	P203	Probation	Prob - Replace A/C units (26) - LPCC	143,000	342,100	342,100	None
036	1400	P809	Probation	YGC, Replace Walk Bridge	39,000	27,984	27,984	None
036	1400	P812	Probation	Joplin, Replace Restroom Floors	61,500	71,000	71,000	None
036	1400	P956	CJC	Cent. Ct, Repair restrooms floors 1-3	30,000	5,000	5,000	None
036	1400	P963	Multiple	Fire Alarm Upgrade-CC,MOB,H&N Cts	476,882	639,000		639,000 Special Design Rev (15S)
036	1400	P969	Probation	Joplin, Rehab Kitchen Cabinets	164,984	163,984	163,984	None
036	1400	P973	Probation	Joplin, Replace Dorm Toilets	57,700	65,200	65,200	None
036	1400	P974	Probation	Joplin, Replace Decking/Bridge	200,000	170,000	170,000	None
036	4200	P003	Probation	Rancho Potrero Leadership Academy	9,820,269	12,191,655	12,191,655	None
036	4200	P004	Probation	YGC - HVAC	1,142,000	1,077,089	1,077,089	None
036	4200	P047	Probation	Prob-Los Pinos Renovation	1,225,000	2,123,242	2,123,242	None
036	4200	P057	Probation	Prob-Joplin Expansion Mitigation	2,800,000	5,311,937	5,311,937	None
036	4200	P058	Multiple	HOA-Improvements & Public Access	1,548,000	200,000		200,000 Spec Desig Revenue (15S) for ADA
036	4200	P100	Probation	Y&FRC - MCAS Tustin	1,077,000	2,126,000	2,126,000	None
036	4200	P204	Probation	Prob-Joplin, Replace Water Tank	646,647	53,647	53,647	None
036	4200	P429	PFRD	GSA/New Communications Center	110,409	110,409	110,409	None
036	4200	P486	Multiple	ADA - Retrofit	117,385	117,385	117,385	None



FY 2001-2002 Rebudgeted Capital Projects (Continued)

Agency	Object	Organization	Agency/ Department	Project Name	FY 00-01 Budget	FY 01-02 CEO Recom.	Funding Sources	
							General Fund	Other Revenue
								Amount Source
036	4200	P569	Sheriff	800 MHz-Moorhead	674,158	100,000		100,000 800 MHz Trust Fund
036	4200	P571	Sheriff	800 MHz-Emerald Bay	242,000	242,000		242,000 800 MHz Trust Fund
036	4200	P581	Sheriff	800 MHz-LaPalma (prior Buena Park)	39,500	39,434		39,434 800 MHz Trust Fund
036	4200	P584	Sheriff	800 MHz Carbon Canyon	207,900	207,900		207,900 800 MHz Trust Fund
036	4200	P590	Sheriff	800 MHz Panorama Heights	354,000	354,000		354,000 800 MHz Trust Fund
036	4200	P597	Sheriff	800 MHz Laguna Fire #2	662,592	10,000		10,000 800 MHz Trust Fund
036	4200	P598	Sheriff	800 MHz S. Laguna Hosp.	410,993	10,000		10,000 800 MHz Trust Fund
036	4200	P719	PFRD	Emergency Gen - Fruit St (Trans)	3,000	4,000	4,000	Transportation ISF
036	4200	P728	Probation	Juv Hall - Counselor Work Stations	8,825	23,825	23,825	None
036	4200	P734	SJC	New South Court Facility	10,000	25,000	25,000	None
036	4200	P844	WJC	Jury Assembly Room Expansion	1,393,000	70,000	70,000	None
036	4200	P854	Probation	Soil Remediation, Los Pinos	35,000	315,000	315,000	None
036	4200	P856	PFRD	Video Arraignment Upgrade	156,500	156,500	156,500	None
036	4200	P901	Multiple	ADA Compliance - Various Facilities	4,639,516	5,402,903		5,402,903 Special Design Rev (15S)
036	4200	P902	Probation	Joplin, Drainage Control @ Pond	146,000	156,000	156,000	None
036	4200	P964	CJC	Central JC - Phase II	6,557,761	6,323,361	2,854,693	3,468,668 Special Design Rev (15S)
036	4200	P968	Probation	Replace HVAC Chill-909 N Main	55,000	25,000		25,000 Special Design Rev (15S)
Total FY 2001-02 Rebudget Projects					40,909,931	42,443,087	31,485,601	10,957,486

038 - DATA SYSTEMS DEVELOPMENT PROJECTS

Operational Summary

Agency Description:

This budget unit funds major County Information Systems development efforts, significant system upgrades and ongoing operations and maintenance of the CAPS system. Projects are recommended on the basis of providing cost reduction or avoidance, improving service levels and utilizing non-County general funding sources.

At a Glance:

Total FY 2000-2001 Actual Expenditure + Encumbrance:	12,750,504
Total Final FY 2001-2002 Budget:	17,001,781
Percent of County General Fund:	0.78%
Total Employees:	0.00

Fiscal Year 2000-01 Key Project Accomplishments:

- Continued expansion of information available on the Data Warehouse.
- HR Records Imaging Project expanded.
- ATM Network expanded.
- IT outsourcing contract successfully bid and implemented.
- Telephone switches upgraded to provide enhanced services.
- Initiated replacement of voice mail system.
- Expanded implementation of web-based VTI Timesheet Project.
- Electronic Records Management Project initiated.

Budget Summary

Changes Included in the Base Budget:

See project matrix for FY 01-02 project submittals.

Final Budget and History:

Sources and Uses	FY 1999-2000 Actual Exp/Rev ⁽¹⁾	FY 2000-2001 Final Budget	FY 2000-2001 Actual Exp/Rev ⁽¹⁾	FY 2001-2002 Final Budget	Change from FY 2000-2001 Actual	
					Amount	Percent
Total Revenues	453,403	0	0	0	0	0
Total Requirements	9,397,064	8,693,021	12,307,152	17,001,781	4,694,629	38
Net County Cost	8,943,661	8,693,021	12,307,152	17,001,781	4,694,629	38

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2000-01 Actual

Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: DATA SYSTEMS DEVELOPMENT PROJECTS in the Appendix on page 544.

Highlights of Key Trends:

- In FY 01/02, major County Information System development efforts continue to be funded while meeting the 2001 Strategic Financial Plan Net County Cost Target. These include:
 - Continued expansion of the ATM High Speed Network.
 - Accelerated use of web-based eBusiness applications on the Internet and Intranet.
- Increased use of data warehousing technology to integrate data from diverse systems.
- Improved access to data and documents using electronic Document Management tools.
- Migration of legacy systems to new database to improve access to information.
- Upgrade of legacy systems to new versions that provide easy to use web browser access.



FY 2001-2002 Information Systems (Fund 038) Final Budget Recommendations

Project Number	Agency 038 Organization	Title of Request	Department Requested Amount	CEO Recommended Amounts					Recommendations/Comments	
				Fund 038 Budget			Funded in Agency Budget			Total
				County General Amount	Other Revenue		Amount	Agency/Source		
					Amount	Source				
COUNTYWIDE SUPPORT PROJECTS										
1	955	CAPS Operations and Maintenance Budget	7,596,800	7,596,800	0		0	7,596,800	Approve - Annual operation and maintenance costs for countywide budgeting, accounting, purchasing, human resources and payroll system.	
2	200	User Training, Documentation & Support	721,100	721,100	0		0	721,100	Approve - Designed to leverage the County's investment in its CAPS Financial, Purchasing and Human Resources systems by implementing advanced user-interface features and providing training for County employees in their use.	
3	201	Advantage 4.0 Upgrade	1,500,000	1,500,000	0		0	1,500,000	Approve - Upgrades the CAPS Financial, Purchasing and Human Resources systems to the next generation 4.0 product. Upgrade includes a re-architected system that will run on a relational database, has a browser-driven user interface and is Inter/Intranet based. Upgrade will also include various functional enhancements.	
4	428	CAPS Electronic Report Management (ERM) Implementation	196,300	196,300	0		0	196,300	Approve - Implements improved report functionality of the CAPS system, including Web access, elimination of hardcopy reports and microfiche (through COLD/DVD imaging technology), as well as data mining capabilities.	
5	N/A	CAPS Disaster Recovery	230,600	0	0		0	0	Defer Until Feasibility Study Completed - Feasibility study will be completed in FY 01/02. Project is designed to study and establish a contract for a Disaster Recovery Hot Site, establishment of a communication facility and testing. This includes identification of a destination to temporarily relocate information processing capabilities immediately following a disaster. In response to a recommendation from the County's outside auditors, the Audit Oversight Committee recommended that consultant services be budgeted in FY 99/00 to study this issue.	
6	101	Wireless Communications Network	1,200,000	1,200,000	0		0	1,200,000	Approve - Will facilitate computer access for workers in field operations. Potential users include Real Property Appraisers, Building Inspectors, Social Workers, Probation Officers etc. In FY 01/02, plan to implement pilot project in Data Services. Will investigate expansion Countywide based upon success of pilot.	
7	202	Network Security Assessment	300,000	300,000	0		0	300,000	Approve - Operational assessment of the County's WANLANs including penetration testing. Will insure County's networks are secure. Will eventually involve participation by all County departments.	



FY 2001-2002 Information Systems (Fund 038) Final Budget Recommendations (Continued)

Project Number	Agency 038 Organization	Title of Request	Department Requested Amount	CEO Recommended Amounts						Recommendations/Comments
				Fund 038 Budget			Funded in Agency Budget		Total	
				County General Amount	Other Revenue		Amount	Agency/Source		
					Amount	Source				
8	107	Middleware to Allow Secure Web Access to IBM Mainframe Databases	200,000	200,000	0		0		200,000	Approve - Project will allow secure public access to County department Internet applications. Project is proposed to prepare the County for e-business to serve constituents.
9	203	Biometric Security Access	100,000	100,000	0		0		100,000	Approve - Will study feasibility of biometrics technology to enhance security of County information systems. Includes use of fingerprint imaging, retinal scans, and/or voice maps to authenticate users and include pilot project in the Hall of Administration.
10	103	Enterprise Optical Imaging & Electronic Document Management (EDM)	400,000	400,000	0		0		400,000	Approve - Will provide support for expanded and enhanced implementation of Enterprise licensing for optical imaging and EDM. This technology is designed to eliminate paper forms processing utilizing an integrated suite of software that includes imaging, workflow and Computer Output to Laser Disk (COLD). Enterprise licensing allows additional County agencies and departments access to this technology at a low cost.
11	N/A	Laser Printer Replacement	105,987	0	0		105,987	Fund 289 - Information & Technology ISF	105,987	Approve - Replaces Data Center printer purchased in 1987 to print County checks. Replacement will update functionality, increase reliability and reduce costly repairs.
12	N/A	ATM High Speed Network Expansion	500,000	0	0		500,000	Fund 289 Information & Technology ISF	500,000	Approve - Adds additional sites to the existing ATM network to further goal of delivering data, including audio and video, to all County agency/departments. Focus this fiscal year is to reduce on-going network costs and to allow departments greater flexibility through video conferencing and facilitation of large file transfers.
13	N/A	Replace Uninterruptable Power Supply (UPS) Battery Back-up System	227,200	0	0		227,200	Fund 289 - Information & Technology ISF	227,200	Approve - Installation of replacement battery equipment which will provide additional level of back-up to the UPS system. It will also allow performance of routine preventative maintenance without shutting down the Data Center or running without an UPS safety net. Current batteries are approaching the end of their useful life cycle.



FY 2001-2002 Information Systems (Fund 038) Final Budget Recommendations (Continued)

Project Number	Agency 038 Organization	Title of Request	Department Requested Amount	CEO Recommended Amounts						Recommendations/Comments
				Fund 038 Budget			Funded in Agency Budget		Total	
				County General Amount	Other Revenue		Amount	Agency/Source		
					Amount	Source				
14	N/A	Technology Upgrade for IBM Mainframe	1,700,000	0	0		0		0	Defer until FY 02/03 - Anticipate that utilization of additional capacity and functionality of upgrade will not be required until next fiscal year. Proposal to purchase a used IBM R36 mainframe computer. County Data Center currently performs mainframe processing on an older IBM system that does not offer many of the newer products available on later models. The R36 represents the least expensive unit that allows usage of these newer products and will significantly increase the capacity of the mainframe to meet the County's expanding needs, such as imaging technology.
15	N/A	Lease New Disk Storage System	585,879	0	0		585,879	Fund 289 - Information & Technology ISF	585,879	Approve - Proposal to lease new technology IBM compatible disk storage system. System will provide sustained capacity to meet the growing storage needs of County departments at the Data Center and will allow needed technology refresh. Will also provide short-term storage requirements for the Assessment Tax System (ATS) resulting from conversion of Datacom DB to DB2.
16	N/A	Disaster Recovery	622,000	0	0		0		0	Defer, Investigate Funding in ISF 289 in FY 02/03 - Project is designed to study and establish a contract for a Disaster Recovery Hot Site, establishment of a communication facility and testing. This includes identification of a destination to temporarily relocate information processing capabilities immediately following a disaster. A new contract position would be employed on a full-time basis through the LMIMS contract to coordinate these efforts.
17	204	On-line Training Enrollment	175,000	175,000	0		0		175,000	Approve - Will allow County employees to self-enroll in training classes using the Intranet. System will also be used to keep a historical record of employee training.
18	205	Expansion of Human Resources Web Services	300,000	300,000	0		0		300,000	Approve - CEO/HR implemented Virtual Job Application - Phase I in FY 00/01. Proposed added features in FY 01/02 include on-line self-testing by applicants and various enhancements to the recruitment process.
19	421	Data Warehouse Web Redesign	300,000	300,000	0		0		300,000	Approve - Continues effort begun in FY 97/98 to provide improved access to CAPS data through the County's Intranet. Historically, this project focused on increasing access of information by County staff. For FY 01/02, efforts will be directed to increasing public access to County services and information through the County's Web site.

FY 2001-2002 Information Systems (Fund 038) Final Budget Recommendations (Continued)

Project Number	Agency 038 Organization	Title of Request	Department Requested Amount	CEO Recommended Amounts						Recommendations/Comments
				Fund 038 Budget			Funded in Agency Budget		Total	
				County General Amount	Other Revenue		Amount	Agency/Source		
					Amount	Source				
20	N/A	Benefits Administration Outsourcing with Interactive Voice Response (IVR) & Web Access	1,200,000	0	0		1,200,000	Fund 291 - Unemployment ISF	1,200,000	Approve - Provides total outsourcing of the County's employee benefits administration. Added features will include access to services through IVR and Web technology.
ASSESSMENT TAX SYSTEM										
21	111	Ideal DB2 Conversion for the Assessment Tax System (ATS)	2,667,954	2,667,954	0		0		2,667,954	Approve - This request represents a multi-year joint request by the Assessor, Auditor-Controller, Treasurer-Tax Collector and the Clerk of the Board. Post-implementation enhancements to the ATS were identified as a Strategic Priority in the County's 2001 Strategic Financial Plan. This request represents the first phase of this process.
ASSESSOR										
22	108	Geo-Information System Utilization	200,000	0	0		0		0	Defer pending completion of Cost/Benefit Analysis - Represents a \$300K multi-year project. Initial phase of project approved in FY 00-01. Continued funding of project was contingent upon completion of cost/benefit analysis. Project develops a new department Geographic Information System (GIS) application, and links this to a Countywide parcel-based GIS maintained by the County Surveyor and the Assessment Tax System (ATS). Designed to replace existing department Computer Aided Design (CAD) system that lacks the functionality of the newer, more robust GIS technology.
COUNTY EXECUTIVE OFFICE										
23	N/A	CEO/IT PC Upgrade	158,981	0	0		158,981	Fund 289 - Information & Technology ISF	158,981	Approve - Required to replace and/or upgrade PC hardware & software for approximately one-third of the PCs used at the Data Center. It is the departments goal to replace all PCs triennially.
24	206	CEO/HOA Network Upgrade	150,000	100,000	0		0		100,000	Approve at Reduced Funding Level - Includes purchase of new equipment and software for the Hall of Administration network. This network serves the Board offices, the CEO and various other occupants of the HOA.
COMMUNITY SERVICES AGENCY										





FY 2001-2002 Information Systems (Fund 038) Final Budget Recommendations (Continued)

Project Number	Agency 038 Organization	Title of Request	Department Requested Amount	CEO Recommended Amounts					Recommendations/Comments	
				Fund 038 Budget			Funded in Agency Budget			Total
				County General Amount	Other Revenue		Amount	Agency/Source		
					Amount	Source				
25	207	Senior Resource Hotline - Phase II	300,000	300,000	0		0		300,000	Approve - Includes design and development of phase II of an Information Referral system for Orange County's seniors and their families. System will be administered by CSA's Areawide Agency on Aging (AAA), and will potentially interface with related services offered by the County's Health Care Agency, Housing & Community Development and the Social Services Agency. The system will also have the capacity to link with other services providers on the Internet. CSA will pursue Title III E funding, which may partially offset the NCC associated with this project.
COUNTY COUNSEL										
26	208	Imaging of Bankruptcy Files	150,000	0	0		0		0	0 Approve - Funding included as part of Project #10 above (EDM). Includes imaging of the County's Bankruptcy files to meet Court mandates and improve public service. The Court has designated the County as the official custodian of these files. As such, files must remain available to the public. Imaging of the documents will allow them to be available on the Internet, representing a public service and a cost savings to the County when compared to current distribution methods and storage requirements.
DISTRICT ATTORNEY										
27	N/A	Witness Management System Implementation and Integration with Case Management System	861,750	0	0		861,750	Prop. 172 Funds	861,750	Approve - Represents rebudget of FY 00-01 project. Project can not be implemented until Case Management System is acquired. Implementation of Witness Management System is designed to improve automated and manual procedures used to subpoena police officers as witnesses in criminal cases. Integration of Witness Management System with Case Management System will result in cost savings and improve coordination among those involved in subpoena process.
28	N/A	Orange County Integrated Criminal Justice System (OCJIS)	500,000	0	0		500,000	Grant Funding	500,000	Approve - A current study, initiated by city police departments and the County Sheriff, is being conducted by Deloitte & Touche and will issue recommendations regarding improving the flow of information between police, Sheriff, DA and the Courts. These recommendations will help in the design of a OCJIS. This request is to fund the DA's role in this process. Grant funding is being pursued for this project. If this fails, Prop. 172 funding will be used.

FY 2001-2002 Information Systems (Fund 038) Final Budget Recommendations (Continued)

Project Number	Agency 038 Organization	Title of Request	Department Requested Amount	CEO Recommended Amounts						Recommendations/Comments
				Fund 038 Budget			Funded in Agency Budget		Total	
				County General Amount	Other Revenue		Amount	Agency/Source		
					Amount	Source				
29	N/A	Family Support Division - PC Replacement	625,000	0	0	625,000	66% State & 34% Incentive Funds Reimbursement	625,000	Approve - Replaces outdated personal computers. Department's plan historically was to replace PCs every 3 years (purchasing new PCs for 1/3 of department on a phased annual cycle). Current proposal is to lease new PCs for entire department for 3 years, resulting in significant cost savings.	
HEALTH CARE AGENCY										
30	N/A	Animal Care Remote Wireless Field Computing	250,000	0	0	250,000	Agency 042 - Offset by fees & revenue from city participants	250,000	Approve - Will provide remote wireless computing capability for Animal Care field officers and canvassers.	
INTEGRATED WASTE MGMT DEPT ENTERPRISE										
31	N/A	Landfill Information Systems Technology Study (LISTS) - Phase II	450,000	0	0	450,000	Fund 299 - IWMD Enterprise Fund	450,000	Approve - Consultant study begun in FY 00/01 will develop multi-year Master Technology Plan that will provide state-of-the-art landfill system. This study will recommend specific related hardware and software systems for FY 01/02.	
32	N/A	Records Management	250,000	0	0	250,000	Fund 299 - IWMD Enterprise Fund	250,000	Approve - Represents re-budget of FY 99/00 project. Requested project will implement an imaging system for IWMD's central files of environmental regulatory and legal documents. Automating the document storage system will reduce required storage space, and provide enhanced records control.	
PLANNING & DEVELOPMENT SERVICES										
33	N/A	Automated Permitting & Planning System (APPS) Phases III & IV	3,229,456	0	0	3,229,456	Fund 113 - Building & Safety	3,229,456	Approve - Requests continued funding of APPS. Phases II & III of a project approved in FY 00/01. Replaces existing DOS-based Permit Issuance & Tracking system with paperless and Internet-enabled application. Upgrades includes Web enabled technology, electronic commerce, barcode tracking, digital signatures, link to State Contractors Certification Board, mobile wireless applications, Geographic Information System (GIS) interface and document imaging. This project is supported by the development community.	

PROBATION





FY 2001-2002 Information Systems (Fund 038) Final Budget Recommendations (Continued)

Project Number	Agency 038 Organization	Title of Request	Department Requested Amount	CEO Recommended Amounts					Recommendations/Comments	
				Fund 038 Budget		Funded in Agency Budget		Total		
				County General Amount	Other Revenue		Amount			Agency/Source
					Amount	Source				
34	209	Adult Intake System	444,627	444,627	0		0		444,627	Approve - Project proposes to automate the current paper-based court referral process and integrates associated department functions involved in this process. Will also assist department in anticipated workload stemming from Proposition 36. Funding is requested for first phase of project (total project cost is estimated at \$864,867).
PUBLIC DEFENDER										
35	210	Extensive Case Management System Development	1,260,000	500,000	0		0		500,000	Approve at Reduced Funding Level - This represents Phase I of a \$1.3 million multi-year project. System will completely integrate all case management activities, and includes expanded automation of department functions, imaging technology and Web upgrades. System will result in decreased operational costs and increased efficiencies, public service and system security.
PUBLIC FACILITIES & RESOURCES										
36	N/A	Utility Billing Management System	185,300	0	0		185,300	Agencies 040,080,106,108,115,148,400,404,405,458,459 & 477	185,300	Approve - Develops a single entry system to allow exchange of utility billing information. Currently, two applications (one maintained by the Auditor-Controller and one by PF&RD) process over 1,100 utility invoices for the County. New system will facilitate usage forecasting, budgeting and rate setting; will introduce efficiencies and help prevent late fee charges.
37	N/A	PF&RD E-Commerce Framework	400,000	0	0		400,000	Agencies 080,115,400 & 405	400,000	Approve - Funds a study to Develop an e-business solution to provide survey and engineering data to PF&RD customers. Implementation will provide 24 hour on-line access and is anticipated to significantly reduce traffic to their public counter from other jurisdictions and the general public. Cost savings are also anticipated. Project will serve as a pilot project for other e-business/e-government solutions.
38	N/A	Windows 2000 Migration	820,545	0	0		820,545	PFRD Administered Funds	820,545	Approve - Will assess, plan and deploy hardware and software to implement a Windows 2000 operating system, with Active Directory, as an upgrade to the department's current Windows NT network environment.
39	N/A	Document Imaging System - Phase III	500,000	0	0		500,000	PFRD Administered Funds	500,000	Approve - Continues implementation of Department's Electronic Data Management Systems (EDMS). This phase focuses on implementation of document imaging. PF&RD plans to use WebRecs technology (proposed County imaging standard currently being implemented by CIO in pilot study).

FY 2001-2002 Information Systems (Fund 038) Final Budget Recommendations (Continued)

Project Number	Agency 038 Organization	Title of Request	Department Requested Amount	CEO Recommended Amounts						Recommendations/Comments
				Fund 038 Budget			Funded in Agency Budget		Total	
				County General Amount	Other Revenue		Amount	Agency/Source		
					Amount	Source				
SHERIFF-CORONER										
40	N/A	Mobile Data Computer (MDC)	9,000,000	0	0	0			0	Defer Pending Identification of Funding Source - Uses digital terminal and Global Positioning System (GPS) technology to dispatch patrol units. Project will increase officer safety, improve response times, provide secure dispatch communications, eliminate unnecessary voice radio traffic and will allow quick data recall, and ability to send & receive documents and images over the air. Backbone system may be used by other departments (including non-public safety).
41	N/A	Statistical Tracking & Evaluation System	300,000	0	0	300,000	Inmate Welfare Fund		300,000	Approve - Represents re-budget of FY 00/01 project, which will facilitate tracking of inmate participation in Sheriff/Correctional programs, including continued participation after release into the community. Program will also track rates of recidivism and time between incarcerations.
42	N/A	Food Services Computer Network	151,000	0	0	151,000	Agency 060		151,000	Approve - As funding will involve Departmental NCC, approval contingent upon absorbing within existing Sheriff/Coroner NCC Target. Represents re-budget of FY 00/01 project which will provide necessary hardware & software to allow Sheriff/Food Services to network with its various facilities. Project implementation will enhance coordination among locations, enable need forecasts, improved functionality and financial accountability of Food Services.
43	211	Design & Implementation of Budget Preparation & Tracking System	250,000	0	0	0			0	Deny - Project is unnecessary as entire County will migrate to BRASS at same time. Money for this project is already accounted for in the 2001 SFP and is also included in project # 3 above (Advantage 4.0 Upgrade). Project will plan for the migration of the existing Sheriff's Tracking & Appropriation Revenue (STAR) system to the Budget Reporting & Analysis Support System (BRASS). BRASS will become the County budgeting application standard for all agencies & departments beginning FY 02/03.
44	N/A	FAC OPS PC Workstation Replacement	65,000	0	0	65,000	Agency 060		65,000	N/A: Project is Under 100K - Department needs to prioritize within its departmental NCC Target. Project replaces existing, and adds new PC workstations at Sheriff Facilities Operations.





FY 2001-2002 Information Systems (Fund 038) Final Budget Recommendations (Continued)

Project Number	Agency 038 Organization	Title of Request	Department Requested Amount	CEO Recommended Amounts						Recommendations/Comments
				Fund 038 Budget			Funded in Agency Budget		Total	
				County General Amount	Other Revenue		Amount	Agency/Source		
					Amount	Source				
45	N/A	Sheriff Automated Report Payroll System (SHARPS)	600,000	0	0	600,000	Fund 14B	600,000	Approve - Upgrades Sheriff payroll system originally implemented in 1984. System is designed to handle increased number of employees, will make system compatible with County payroll system (AHRS) and add efficiencies and accuracy when compared to existing payroll system.	
SOCIAL SERVICES AGENCY										
46	N/A	CDS/GIS Operational Data Store	764,500	0	0	764,500	88% State/Federal & 12% Departmental NCC	764,500	Approve - Project will provide Department program, operational and research staff with direct access with WCDS/GIS client data for analysis and reporting purposes. Project will replace manual procedures and help County avoid State funding reductions by not meeting reporting deadlines.	
47	N/A	Network Infrastructure Upgrades	787,242	0	0	787,242	88% State/Federal & 12% Departmental NCC	787,242	Approve - Expands existing SSA and Children's Services networks to provide increased bandwidth and support to meet current and future system requirements, including SMART, CALWIN and expansion of the CWS/CMS network.	
48	N/A	Services Management & Resources Tracking System (SMART) Phase III	3,012,290	0	0	3,012,290	State CALWORKS Funding	3,012,290	Approve - System is mandated & funded by the State, and is designed to improve and coordinate service delivery and prevent fraud in various public assistance programs. Phase III will begin the development of automated interface with external partners outside of SSA. Project carried-over to FY 01/02 from FY 00/01 due to other priorities.	
49	N/A	Adult Protective Services System	365,280	0	0	365,280	Agency 063 (88% State & Federal, 12% Department NCC)	365,280	Approve - Project is designed to automate the operations for the Adult Protective Services (APS) Division. System will allow the input of calls to be automatically moved to a case file, provide case tracking, determine eligibility, and provide State mandated statistical and managerial reports. Project carried-over to FY 01/02 from FY 00/01, as department is awaiting additional input from selection committee.	
TREASURER-TAX COLLECTOR										

FY 2001-2002 Information Systems (Fund 038) Final Budget Recommendations (Continued)

Project Number	Agency 038 Organization	Title of Request	Department Requested Amount	CEO Recommended Amounts						Recommendations/Comments
				Fund 038 Budget			Funded in Agency Budget		Total	
				County General Amount	Other Revenue		Amount	Agency/Source		
					Amount	Source				
50	N/A	Treasury Workstation	500,000	0	0		500,000	Agency 074 - Administrative Expense Ratio Fee	500,000	Approve - Automates operations in the T-TC that are currently completed using a manual spreadsheet driven process. Project will integrate all systems & sources of data that are used in day-to-day functions of cash management, cash flow forecasting, investment trading, investment compliance, investment accounting, fund accounting and bank reconciliation. Represents rebudget of project approved in FY 00/01.
Total			47,359,791	17,001,781	0		17,395,410		34,397,191	



104 - CRIMINAL JUSTICE FACILITIES - ACO

Operational Summary

Agency Description:

The primary revenue source is surcharges and penalty assessments on parking violations and criminal fines. Revenue is used for acquisition, debt service, maintenance, or operations of criminal justice facilities. The major activity is payment of rent associated with the Forensic Sciences Building. Remaining funds are used for maintenance and capital projects for criminal justice facilities.

At a Glance:

Total FY 2000-2001 Actual Expenditure + Encumbrance:	7,022,993
Total Final FY 2001-2002 Budget:	8,467,278
Percent of County General Fund:	N/A
Total Employees:	0.00

Fiscal Year 2000-01 Key Project Accomplishments:

- Contracts for design and project management were awarded for the Rancho Potrero Leadership Academy project and the 60-Bed Expansion of Juvenile Hall project, both of which are approved Strategic Priorities.

Budget Summary

Plan for Support of the County's Strategic Priorities:

Various projects from the Deferred Maintenance strategic priority will be funded from Fund 104.

Design and project management contracts for the Rancho Potrero Leadership Academy project and the 60-Bed Expansion of Juvenile Hall project are funded from Fund 104.

Changes Included in the Base Budget:

New projects include various maintenance projects for Probation Facilities. Significant projects include reroofing living units at Juvenile Hall, replacing air conditioning units at Juvenile Hall, and replacing plumbing at Juvenile Hall.

Final Budget and History:

Sources and Uses	FY 1999-2000 Actual Exp/Rev ⁽¹⁾	FY 2000-2001 Final Budget	FY 2000-2001 Actual Exp/Rev ⁽¹⁾	FY 2001-2002 Final Budget	Change from FY 2000-2001 Actual	
					Amount	Percent
Total Revenues	5,418,708	4,500,000	6,200,613	4,772,029	(1,428,584)	(23)
Total Requirements	3,322,698	7,392,237	4,638,298	8,467,278	3,828,980	83
FBA	4,031,875	2,892,237	3,513,860	3,695,249	181,389	5

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2000-01 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: CRIMINAL JUSTICE FACILITIES - ACO in the Appendix on page 545.

Highlights of Key Trends:

- Revenue from court fines and fees has been increasing over the last 5 years allowing additional funding for projects at criminal justice facilities. Top priority for funding consideration are critical maintenance projects and approved strategic priorities.

105 - COURTHOUSE TEMPORARY CONSTRUCTION

Operational Summary

Agency Description:

This budget accumulates revenue from surcharges and penalty assessments on parking violations and criminal fines. The revenue is restricted by Government Code for acquisition, debt service, maintenance, and operation of courthouse facilities.

At a Glance:

Total FY 2000-2001 Actual Expenditure + Encumbrance:	4,765,645
Total Final FY 2001-2002 Budget:	4,800,262
Percent of County General Fund:	N/A
Total Employees:	0.00

Fiscal Year 2000-01 Key Project Accomplishments:

- The primary use of funds is to pay annual debt service of about \$4.5 million for the Lamoreaux Justice Center.

Budget Summary

Changes Included in the Base Budget:

There are no significant changes from last year's budget.

Final Budget and History:

Sources and Uses	FY 1999-2000 Actual Exp/Rev ⁽¹⁾	FY 2000-2001 Final Budget	FY 2000-2001 Actual Exp/Rev ⁽¹⁾	FY 2001-2002 Final Budget	Change from FY 2000-2001 Actual	
					Amount	Percent
Total Revenues	4,981,273	4,678,300	5,132,481	4,200,000	(932,481)	(18)
Total Requirements	4,968,567	4,816,999	4,765,645	4,800,262	34,617	1
FBA	788,076	138,699	939,481	600,262	(339,219)	(36)

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2000-01 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: COURTHOUSE TEMPORARY CONSTRUCTION in the Appendix on page 546.

Highlights of Key Trends:

- Increasing revenue from court fines and fees could eliminate the need for the General Fund to contribute funding to meet debt service payments. No General Fund contributions are budgeted for FY 2001-02.

13L - TELECOMMUNICATIONS 2001 EQUIPMENT FUND

Operational Summary

Agency Description:

To provide funds for a major County telecommunications upgrade. Bonds were sold in May 2001 and the proceeds, \$10,330,000, will be used to pay for a phased upgrade of the County's telephone system. The bonds have an eight year term and are scheduled to be retired on December 15, 2008. This fund was created in Fiscal Year 2000-2001.

At a Glance:

Total FY 2000-2001 Actual Expenditure + Encumbrance:	844,690
Total Final FY 2001-2002 Budget:	8,566,688
Percent of County General Fund:	N/A
Total Employees:	0.00

Strategic Goals:

- To provide funds for the upgrade of the County's telecommunication system.

Ten Year Staffing Trend Highlights:

- This agency has no staff.

Changes Included in the Base Budget:

This agency was created in FY 2000-2001, expenditures will begin in FY 2000-2001 and be completed in FY 2001-2002.

Budget Summary

Plan for Support of the County's Strategic Priorities:

Fund acquisition and installation of telecommunications upgrade.

Final Budget and History:

Sources and Uses	FY 1999-2000 Actual Exp/Rev ⁽¹⁾	FY 2000-2001 Final Budget	FY 2000-2001 Actual Exp/Rev ⁽¹⁾	FY 2001-2002 Final Budget	Change from FY 2000-2001 Actual	
					Amount	Percent
Total Revenues	0	0	10,254,275	115,000	(10,139,275)	(99)
Total Requirements	0	0	844,690	8,566,688	7,721,998	914
FBA	0	0	0	8,451,688	8,451,688	0

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2000-01 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: TELECOMMUNICATIONS 2001 EQUIPMENT FUND in the Appendix on page 547.

14N - SUPERIOR COURT ICMS PHASE III ACQUISITION

Operational Summary

Agency Description:

The Superior Court Integrated Court Management System (ICMS) Phase III Acquisition fund was created for the acquisition of hardware and software for the ICMS Phase III automation. Funding for the acquisition came from the sale of the 1997 Certificates of Participation (Superior Court ICMS Project). The acquisition is being financed over a five year period. Debt service payments are made from Fund 100 Agency 081 Trial Courts, and are reimbursed by State funding for the Orange County Trial Courts.

At a Glance:

Total FY 2000-2001 Actual Expenditure + Encumbrance:	2,932,956
Total Final FY 2001-2002 Budget:	2,580,851
Percent of County General Fund:	N/A
Total Employees:	0.00

Fiscal Year 2000-01 Key Project Accomplishments:

- Completion of court system upgrade.

Budget Summary

Final Budget and History:

Sources and Uses	FY 1999-2000 Actual Exp/Rev ⁽¹⁾	FY 2000-2001 Final Budget	FY 2000-2001 Actual Exp/Rev ⁽¹⁾	FY 2001-2002 Final Budget	Change from FY 2000-2001 Actual	
					Amount	Percent
Total Revenues	2,956,125	1,664,625	1,610,356	1,667,250	56,894	4
Total Requirements	3,659,276	4,709,743	2,932,956	2,580,851	(352,105)	(12)
FBA	2,901,751	3,045,118	2,198,600	913,601	(1,284,999)	(58)

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2000-01 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: SUPERIOR COURT ICMS PHASE III ACQUISITION in the Appendix on page 548.

15L - 800 MHZ COUNTYWIDE COORDINATED COMMUNICATIONS SYST

Operational Summary

Agency Description:

The 800 MHz Countywide Coordinated Communications System (CCCS) Fund 15L was established as an interest-earning fund in FY 1995-96. The purpose of this fund is to provide separate accountability for the implementation of this new coordinated communications system which will serve the law enforcement and public works agencies of the County and 31 cities. City and County funds to meet

expenses under the contract with Motorola Communications and Electronics, Inc. are held in a separate escrow account. In addition, miscellaneous communications expenses (such as rents and utilities for the remote sites) will also be paid from this fund.

At a Glance:

Total FY 2000-2001 Actual Expenditure + Encumbrance:	24,642,387
Total Final FY 2001-2002 Budget:	15,963,865
Percent of County General Fund:	N/A
Total Employees:	0.00

Fiscal Year 2000-01 Key Project Accomplishments:

- The Sheriff-Coroner 800MHz Program has completed activation of all 21 of its remote sites for 800 MHz and has converted 95% of County and City law enforcement to the new 800 MHz System.

Ten Year Staffing Trend Highlights:

- There are no positions associated with this fund.

Changes Included in the Base Budget:

The Total FY 01-02 Proposed Budget is \$25,431,304 less than the FY 00-01 Current Budget due to backing out of one-time budgeted items and the completion of projects.

Budget Summary

Plan for Support of the County's Strategic Priorities:

The Sheriff's Department will work with CEO in updating the Strategic Financial Plan in FY 01/02 and future year prioritization which forms the basis of the Five Year Strategic Financial Plan.

Final Budget and History:

Sources and Uses	FY 1999-2000 Actual Exp/Rev ⁽¹⁾	FY 2000-2001 Final Budget	FY 2000-2001 Actual Exp/Rev ⁽¹⁾	FY 2001-2002 Final Budget	Change from FY 2000-2001 Actual	
					Amount	Percent
Total Revenues	(6,751,503)	38,253,118	17,047,005	13,813,206	(3,233,799)	(19)
Total Requirements	6,414,043	45,036,716	24,376,243	15,963,865	(8,412,378)	(35)
FBA	22,402,756	6,783,598	9,111,987	2,150,659	(6,961,328)	(76)

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2000-01 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: 800 MHZ COUNTYWIDE COORDINATED COMMUNICATIONS SYST in the Appendix on page 549.

Highlights of Key Trends:

- In FY 01-02 the conversion of law enforcement and Public Works to the new 800 MHz System will be completed.

15R - DEBT REDUC/FUTURE ESSENTIAL OPERATING REQUIREMENTS

Operational Summary

Agency Description:

This Fund accumulates one-time revenue as well as annual principal and interest revenue from the sale of County assets. The bankruptcy plan of adjustment required \$12 million of revenue from asset sales to be used in the recovery plan. That amount has been satisfied and all new revenue is being transferred to the General Fund.

At a Glance:

Total FY 2000-2001 Actual Expenditure + Encumbrance:	1,550,746
Total Final FY 2001-2002 Budget:	273,141
Percent of County General Fund:	N/A
Total Employees:	0.00

Fiscal Year 2000-01 Key Project Accomplishments:

- About \$1.3 million will be transferred to the General Fund in FY 2000-01.

Budget Summary

Changes Included in the Base Budget:

Funds to be transferred to the General Fund decrease by about \$1 million. This is due to the higher than usual Fund Balance in FY 2000-01.

Final Budget and History:

Sources and Uses	FY 1999-2000 Actual Exp/Rev ⁽¹⁾	FY 2000-2001 Final Budget	FY 2000-2001 Actual Exp/Rev ⁽¹⁾	FY 2001-2002 Final Budget	Change from FY 2000-2001 Actual	
					Amount	Percent
Total Revenues	6,885,335	1,578,141	472,409	273,141	(199,268)	(42)
Total Requirements	5,845,206	2,461,505	1,550,746	273,141	(1,277,605)	(82)
FBA	55,411	883,364	1,095,540	0	(1,095,540)	(100)

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2000-01 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: DEBT REDUC/FUTURE ESSENTIAL OPERATING REQUIREMENTS in the Appendix on page 550.

Highlights of Key Trends:

- Ongoing revenue is about \$275,000 per year. There are three surplus properties identified to be sold: 1) Santa Ana Blvd parcel; 2) Westminster parcel; and 3) Forrest Paull parcel. None are likely to be sold in FY 2001-02.

9B1 Major Activities

The purpose of these funds is to construct and/or acquire sewer, regional roadway and public infrastructure facility improvements funded from bond proceeds issued on behalf of the Assessment Districts and to administer other long-term requirements of the Districts.

9B1 Funds

Agency Number	ASSESSMENT DISTRICTS	FY 2001-2002 Appropriations		FY 2001-2002 Revenue	
431	SPECIAL ASSMT-TOP OF THE WORLD IMPROVEMENT	\$	42,289	\$	42,289
550	AD 92-1 NEWPORT RIDGE CONSTRUCTION		168,425		168,425
552	AD 92-1 NEWPORT RIDGE SERIES B		5,566,682		5,566,682

9B2 Major Activities

The purpose of these funds is to construct and/or acquire public facility improvements (e.g. roads, fire stations, libraries, etc.) funded from bond proceeds issued on behalf of the Community Facilities Districts, and to administer other long-term requirements of the Districts.

9B2 Funds

Agency Number	PUBLIC FAC IMPROVEMENTS	FY 2001-2002 Appropriations		FY 2001-2002 Revenue	
112	COUNTY INFRASTRUCTURE PROJECT	\$	8,173,426	\$	8,173,426
424	ALISO VIEJO CFD 88-1 A '92 CONSTRUCTION		34,152,951		34,152,951
480	CFD 99-1 SERIES A 99 LADERA CONSTRUCTION		6,654,652		6,654,652
481	CFD 86-2 SERIES A 98 RSM CONSTRUCTION		3,958,270		3,958,270
483	RANCHO SANTA MARGARITA CFD 86-1A CONSTRUCTION		197,060		197,060
489	SANTA MARGARITA CFD 86-1(SER '88) CONSTRUCTION		93,376		93,376
497	LOMAS LAGUNA CFD 88-2 CONSTRUCTION		336,452		336,452
498	CFD 87-4 SERIES A 1997 CONSTRUCTION		1,346,430		1,346,430
502	PORTOLA HILLS CFD 87-2(A) CONSTRUCTION		249		249
510	BAKER RANCH CFD 87-6 CONSTRUCTION		447,459		447,459
514	SANTA TERESITA CFD 87-9 CONSTRUCTION		218,453		218,453
526	PORTOLA HILLS CFD 87-2(A91) CONSTRUCTION		16,247		16,247
528	MISSION VIEJO CFD 87-3(A90) CONSTRUCTION		7,216,464		7,216,464
542	SANTA TERESITA CFD 87-9(A91)		1,078,770		1,078,770
546	CFD 00-1 SERIES A OF 2000, LADERA CONSTRUCTION		26,952,660		26,952,660
553	FOOTHILL RANCH CFD 87-4 A-94 CONSTRUCTION		758,016		758,016
556	RANCHO SANTA MARGARITA CFD 87-5C A-94 CONSTRUCTION		490,222		490,222
557	RANCHO SANTA MARGARITA CFD 87-5D A-94 CONSTRUCTION		18		18
558	COTO DE CAZA CFD 87-8 A-94 CONSTRUCTION		1,002,302		1,002,302
559	RANCHO SANTA MARGARIT CFD 87-5 RESID. CONSTRUCTION		57		57

9B3 Major Activities

The purpose of the Arbitrage Rebate Fund is set aside funds for potential arbitrage payments to the U.S. Treasury, if necessary.

9B3 Funds

Agency Number	COMMUNITY FAC DISTRICTS	FY 2001-2002 Appropriations	FY 2001-2002 Revenue
429	ARBITRAGE REBATE	\$ 2,063,105	\$ 2,063,105